



Docket No.: 03235/100M087-US2  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Charles A. Altar et al.

Application No.: 10/664,705

Confirmation No.: 5502

Filed: September 18, 2003

Art Unit: 1649

For: GENE SIGNATURE OF ELECTROSHOCK  
THERAPY AND METHOD OF USE

Examiner: S. H. Standley

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In order to comply with the duty to disclose information under 37 C.F.R. § 1.56, Applicants submit herewith an Information Disclosure Statement pursuant to the requirements of 37 C.F.R. §§ 1.97 and 1.98. In particular, Applicants submit herewith Form PTO/SB/08a/b (Substitute for Form 1449A/B/PTO) listing documents AA-CR for consideration by the Examiner. Copies of cited documents AC-CR are also submitted herewith. In order to avoid overburdening the Examiner, copies of documents AA and AB are not submitted herewith since these documents are U.S. patents. *See* 37 C.F.R. § 1.98(a)(2)(ii). However, Applicants will gladly provide copies of these references at the Examiner's request.

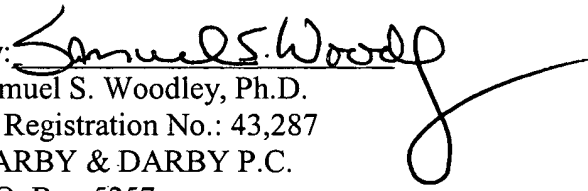
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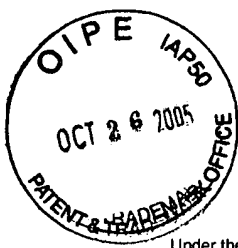
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Respectfully submitted,

Dated: October 26, 2005

By:   
Samuel S. Woodley, Ph.D.  
Registration No.: 43,287  
DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
(212) 527-7700  
(212) 527-7701 (Fax)  
Attorneys/Agents For Applicant



PTO/SB/08a/b (07-05)

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				Examiner Name	S. H. Standley
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-5,859,197	01-12-1999	Theill et al.	
	AB*	US-5,817,784	10-06-1998	Theill et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	AC	FOCHTMANN, "Animal Studies Electroconvulsive Therapy: Foundations for Future Research," Psychopharmacology Bulletin, Vol. 30 No. 3, 321-381 (1994)		
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		Brain," Mol. Cells, Vol. 12, No. 2, 173-177 (2001)	
AM	JENSEN et al., "Chronic Antidepressant Treatments Decrease Pro-Opiomelanocortin mRNA Expression in the Pituitary Gland: Effects of Acute Stress and 5-HT <sub>1A</sub> Receptor Activation," Journal of Neuroendocrinology, Vol. 13, 887-893 (2001)		
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AV	MADSEN et al., "Electroconvulsive Stimuli Enhance Both Neuropeptide Y Receptor Y1 and Y2 Messenger RNA Expression and Levels of Binding in the Rat Hippocampus," Neuroscience, Vol. 98, No. 1, 33-39 (2000)		
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	BE	GARCIA et al., "Acute and repeated ECS treatment increases CRF, POMC and PENK gene expression in selected regions of the rat hypothalamus," Molecular Neuroscience, Vol. 9, No. 1, 73-77 (1998)	
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BU	SMITH et al., "Electroconvulsive Shock Increases Dopamine D <sub>1</sub> and D <sub>2</sub> Receptor mRNA in the Nucleus of the Rat," <i>Psychopharmacology</i> , 120:333-340 (1995)	
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CB	MIKKELSEN et al., "Electroconvulsive Shock Increase the Expression of Neuropeptide Y (NPY) mRNA in the Piriform Cortex and the Dentate Gyrus," <i>Molecular Brain Research</i> , 23:317-322 (1994)	
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CF	PASSARELLI et al., "Somatostatin mRNA in the Hippocampal Formation Following Electroconvulsive Shock in the Rat," <i>Neuroscience Letters</i> , 153:197-201 (1993)	
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CH	KAPUR et al., "Electroconvulsive Shock Increase Tyrosine Hydroxylase and Neuropeptide Y Gene Expression in the Locus Coeruleus," <i>Molecular Brain Research</i> , 18:121-126 (1993)	
CI	PRATT et al., "Electroconvulsive Shock Alters GABA <sub>A</sub> Receptor Subunit mRNAs: Use of Quantitative PCR Methodology," <i>Brain Research Bulletin</i> , Vol. 30, 691-693 (1993)	
CJ	WONG et al., "Induction of Constitutive Heat Shock Protein 73 mRNA in the Dentate Gyrus by Seizures," <i>Molecular Brain Research</i> , 13:19-25 (1992)	
CK	LINDEFORS et al., "Repeated Electroconvulsive Shock Increases Tachykinin and Cholecystokinin mRNA Expression in Ventral Periaqueductal Gray," <i>Neuroscience</i> , Vol. 45, No. 1, 73-80 (1991)	
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		Psychopharmacology Bulletin, Vol. 27, No. 3, 359-363 (1991)	
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